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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Yoshitaka SASAKI et al.

Application No.: 10/786,048

Filed: February 26, 2004 Docket No.: 118861

Patent No.: 7,141,357

Issue Date: November 28, 2006

For: METHOD OF MANUFACTURING THIN-FILM MAGNETIC HEAD

**PETITION UNDER 37 C.F.R. §1.183 FOR
WAIVER OF THE REQUIREMENTS OF 37 C.F.R. §3.81**

Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This petition respectfully requests waiver of the requirements of 37 C.F.R. §3.81 to correct the assignee information printed on the above-identified patent. Applicant requests (a) printing of a corrected patent of U.S. Patent No. 7,141,357, or (b) printing of a corrected cover page of U.S. Patent No. 7,141,357. As a last resort in the unlikely event these remedies are denied, Applicant requests, under 37 CFR §3.81(b), an issuance of a Certificate of Correction under 37 C.F.R. §1.323 to correct the assignee information on the cover page of U.S. Patent No. 7,141,357.

The above-identified patent is assigned to HEADWAY TECHNOLOGIES, INC., and SAE MAGNETICS (H.K.) LTD. by virtue of an Assignment recorded on October 28, 2004 at Reel 015309, Frame 0278. The Assignment was submitted for recordation, as set forth in 37 CFR §3.11, prior to payment of the Issue Fee, but the Issue Fee Transmittal Form filed October 20, 2006 incorrectly identified the First Assignee as HEADWAY TECHNOLOGIES, LTD. instead of HEADWAY TECHNOLOGIES TECHNOLOGIES, INC. The incorrect identification of the First Assignee from the Form PTOL-85B was an inadvertent error made by Applicants' representative. The Patent Office subsequently printed the patent in error.

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Applicants' representative, not the Assignee nor the inventor, made the above-identified mistake. The possibility of an incorrect interpretation of the First Assignee information prejudices both the inventor and the wrongly-listed company, HEADWAY TECHNOLOGIES, INC. The possibility of an incorrect interpretation was not created by the inventor or either of the Assignees, but was created solely by the mistaken act of the inventors' representative. The mistake was an unintentional and inadvertent clerical error created by the office of Applicants' representative that went unnoticed by the representative. The mistake occurred in good faith and the requested correction does not involve such changes in the patent as would constitute new matter or would require examination.

Therefore, the incorrect First assignee data was printed on the front face of the patent when the assignees should be correctly identified as First Joint Assignee, HEADWAY TECHNOLOGIES, INC., Milpitas, California and Second Joint Assignee, SAE MAGNETICS (H.K.) LTD., Hong Kong.

It is respectfully requested that the requirements of 37 C.F.R. §3.81 be waived, and (a) a corrected patent of U.S. Patent No. 7,141,357 be printed, or (b) a corrected cover page of U.S. Patent No. 7,141,357 be printed. At a minimum, and in the unlikely event that the Patent Office does not consider this an extraordinary situation where justice requires suspension of the rules, it is requested, under 37 CFR §3.81(b), that the attached Certificate of Correction under 37 C.F.R. §1.323 be issued to correct the assignee information on the cover page of U.S. Patent No. 7,141,357. In the event that the request for a Certificate of Correction is granted, Applicants request that the file be forwarded to Certificate of Correction Branch, for issuance of a Certificate of Correction.

Attached to this petition are:

- 1) Our Check No. 218432 in the amount of \$400.00 as the petition fee set forth in 37 C.F.R. §1.17(f). If any additional fees are necessary, the U.S. Patent and Trademark Office is authorized to debit Deposit Account No. 15-0461; and

2) A Request for Certificate of Correction under 37 C.F.R. §1.323. The Request indicates that this Petition has been filed.

3) A copy of Notice of Recordation of Assignment Document.

Respectfully submitted



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JAO:BSP/sxl

Date: June 4, 2009

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DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
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